

## United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER OF PATENTS AND TRADEMARKS Washington, D. 20231

The state of the s			Washington, D.C. 20231 www.nspto.gov	
APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/870,939	05/30/2001	Douglas A. Amorese	10010791-1	8714
75				
AGILENT TECHNOLOGIES, INC. Legal Department, DL429 Intellectual Property Administration P.O. Box 7599 Loveland, CO 80537-0599			EXAMINER	
			FORMAN, BETTY J	
			ART UNIT	PAPER NUMBER
			1634	7
			DATE MAILED: 05/21/2002	1

Please find below and/or attached an Office communication concerning this application or proceeding.

## Application No. Applicant(s) AMORESE ET AL. 09/870,939 Office Action Summary Art Unit Examiner BJ Forman 1634 -- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --Period for Reply A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 1 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.138(a). In no event, however, may a reply be timely filled

Responsive to communication(s) filed on 12 October 2001.

- after SIX (6) MONTHS from the mailing date of this communication.

  If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will reply SEX (6) MONTHS from the making date of this communication.

  Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S. C.§ 133).

  Any reply received by the Office alter than three months after the mailtaining date of this communication, very timely fixed, may cloude any

earned patent term adjustment. See 37 CFR 1.704(b). Status

1) 🖂

2a) This action is <b>FINAL</b> . 2b) And This action is non-final.					
3) Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under Ex parte Quayle, 1935 C.D. 11, 453 O.G. 213.					
Disposition of Claims					
4) Claim(s) 1-37 is/are pending in the application.					
4a) Of the above claim(s) is/are withdrawn from consideration.					
5) Claim(s) is/are allowed.					
6) Claim(s) is/are rejected.					
7) Claim(s) is/are objected to.					
8) Claim(s) 1-37 are subject to restriction and/or election requirement.					
Application Papers					
9) The specification is objected to by the Examiner.					
10) ☐ The drawing(s) filed on is/are: a) ☐ accepted or b) ☐ objected to by the Examiner					
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).					
11)☐ The proposed drawing correction filed on is: a)☐ approved b)☐ disapproved by the Examiner.					
If approved, corrected drawings are required in reply to this Office action.					
12) The oath or declaration is objected to by the Examiner.					
Priority under 35 U.S.C. §§ 119 and 120					
13) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).					
a) All b) Some * c) None of:					
1. Certified copies of the priority documents have been received.					
2. Certified copies of the priority documents have been received in Application No					
3. Copies of the certified copies of the priority documents have been received in this National Stage					
application from the International Bureau (PCT Rule 17.2(a)).  * See the attached detailed Office action for a list of the certified copies not received.					
14) Acknowledgment is made of a claim for domestic priority under 35 U.S.C. § 119(e) (to a provisional application)					
a) The translation of the foreign language provisional application has been received					
15) Acknowledgment is made of a claim for domestic priority under 35 U.S.C. §§ 120 and/or 121.					
Attachment(s)					
1) ☐ Notice of References Cited (PTO-932)         4) ☐ Interview Summary (PTO-413) Paper No(s)					

Application/Control Number: 09/870,939 Art Unit: 1634

## Restrictions

- 1. Restriction to one of the following inventions is required under 35 U.S.C. 121:
  - Claims 1-21, drawn to a polynucleotide array and a kit comprising the polynucleotide array, classified in class 435, subclass 287.2 and in class 422, subclass 61.
  - II. Claims 22-24 and 28 drawn to method for making a polynucleotide array, classified in class 422, subclass 68.1.
  - III. Claims 25-27, drawn to a method of making a polynucleotide array, classified in class 435, subclass 91.1.
  - Claims 29-35, drawn to a method of using a polynucleotide array, classified in class 435, subclass 6.
  - Claims 36-37, drawn to a method of making a polynucleotide array, classified in class 435, subclass 68.1.
- 2. The inventions are distinct, each from the other because of the following reasons:
  - a. Inventions I and II, III & IV are related as product and process of making the product.

The inventions are distinct if either or both of the following can be shown: (1) that the process as claimed can be used to make other and materially different product or (2) that the product as claimed can be made by another and materially different process (MPEP § 806.05(f)). In the instant case the product as claimed can be made by another and materially different process. The polynucleotide array of Invention I can be made by mechanically shearing genomic DNA in solution, selectively activating the features on the surface of the array and flowing the sheared DNA over the surface to localize DNA on the activated features and repeating the steps with different activated features to thereby provide the polynucleotide array.

Application/Control Number: 09/870,939

Art Unit: 1634

b. Inventions II, III, IV and V are independent and distinct methods. Inventions are unrelated if it can be shown that they are not disclosed as capable of use together and they have different modes of operation, different functions, or different effects (MPEP § 806.04, MPEP § 808.01). In the instant case the different inventions are not disclosed as capable of use together and they have different modes of operation. The method of Invention III functions by depositing drops containing first and second polynucleotides. The method of Invention III functions by enzymatically processing a first set of polynucleotides and synthesizing a second set of polynucleotides. The method of Invention IV functions to analyze hybridization events on an array. The method of Invention V functions by enzymatically processing polynucleotides and depositing the polynucleotides by an ink jet having an orifice of less than 1mm<sup>2</sup>.

- c. Inventions I and IV are related as product and process of use. The inventions can be shown to be distinct if either or both of the following can be shown: (1) the process for using the product as claimed can be practiced with another materially different product or (2) the product as claimed can be used in a materially different process of using that product (MPEP § 806.05(h)). In the instant case the product as claimed can be used in a materially different process of using that product. The polynucleotide array of Invention I can be used in various methods e.g. the array can be used to immobilize polynucleotide binding proteins; the array can be used to purify anti-polynucleotide antibodies; and the array can be used to remove polynucleotides from a solution.
- Because these inventions are distinct for the reasons given above and have acquired a separate status in the art because of their recognized divergent subject matter, restriction for examination purposes as indicated is proper.
- Applicant is advised that the reply to this requirement to be complete must include an
  election of the invention to be examined even though the requirement be traversed (37 CFR
  1.143).

Application/Control Number: 09/870,939

Art Unit: 1634

5. Applicant is reminded that upon the cancellation of claims to a non-elected invention, the inventorship must be amended in compliance with 37 CFR 1.48(b) if one or more of the currently named inventors is no longer an inventor of at least one claim remaining in the application. Any amendment of inventorship must be accompanied by a request under 37 CFR 1.48(b) and by the fee required under 37 CFR 1.17(i).

## Conclusion

 Any inquiry concerning this communication or earlier communications from the examiner should be directed to BJ Forman whose telephone number is (703) 306-5878. The examiner can normally be reached on 6:30 TO 4:00.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Gary Jones can be reached on (703) 308-1152. The fax phone numbers for the organization where this application or proceeding is assigned are (703) 308-4242 for regular communications and (703) 308-8724 for After Final communications.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist whose telephone number is (703) 308-0196.

BJ Forman, Ph.D. Patent Examiner Art Unit: 1634

May 16, 2002